

Brochure Supplement

March 31, 2021

STEPHEN M. ERKEN, CFP®

20 Allen Avenue, Suite 330
Webster Groves, MO 63119

(314) 961-1850

This Brochure Supplement provides information about Stephen M. Erken that supplements the Disclosure Brochure of Maxele Advisors, LLC (hereinafter "Maxele"), a copy of which you should have received. Please contact Maxele's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Stephen M. Erken is available on the SEC's website at www.adviserinfo.sec.gov.

Maxele Advisors, LLC, a Registered Investment Adviser

20 Allen Avenue, Suite 330, Webster Groves, MO 63119 | (314) 961-1850
www.maxeleadvisors.com

Item 2. Educational Background and Business Experience

Born 1960

Post-Secondary Education

Washington University | M.B.A., Business Administration | 1985

St. Louis University | B.A., Psychology and Business | 1982

Recent Business Background

Maxele Advisors, LLC | Principal and Certified Financial Planner™ Professional | February 2013 – Present

AXA Advisors, LLC | Certified Financial Planner™ Professional | February 1988 – February 2013

Professional Designation

Stephen M. Erken holds the professional designation Certified Financial Planner™ (“CFP®”).

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

For additional information about this credential, please refer directly to the website of the issuing organization.

Item 3. Disciplinary Information

Maxele is required to disclose information regarding any legal or disciplinary events material to a client’s evaluation of Stephen M. Erken. Maxele has no information to disclose in relation to this Item.

Item 4. Other Business Activities

Maxele is required to disclose information regarding any investment-related business or occupation in which Stephen M. Erken is actively engaged. Maxele has no information to disclose in relation to this Item.

Item 5. Additional Compensation

Maxele is required to disclose information regarding any arrangement under which Stephen M. Erken receives an economic benefit from someone other than a client for providing investment advisory services. Maxele has no information to disclose in relation to this Item.

Item 6. Supervision

Stephen M. Erken is the Principal of Maxele and is generally responsible for his own supervision. Stephen M. Erken seeks to ensure that investments are suitable for his individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by Maxele's clients.

Item 7. Requirements for State Registered Advisers

Maxele is required to disclose information regarding Stephen M. Erken's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. Maxele has no information to disclose in relation to this Item.